



KEYSIGHT
WORLD 2019

Physical Layer Modeling Principles of 5G New Radio

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Agenda

- Physical Layer Design Challenges
- Model-Based Design
- Millimeter Wave Phased Array System Level Simulation
- Simulation for Radiated Link Performance Analysis
- Summary

Let's take a break and start again.

AVENGERS - ENDGAME



The most powerful character...One of....

THE STRONGEST HERO...AMONG SUPER HEROES



0.84113
Dr. Strange

Adorable Abilities

MANY ABILITIES



the art of burning incense



The most amazing ability



시간 조정
Time manipulation

What do you call a man like this?

someone who runs a

Simulation



Keywords of “SIMULATION”

Anyone who runs a **SIMULATION** will never lose.
Will you be the **winner** of 5G technology?

Would you Join EDA tools of Keysight?

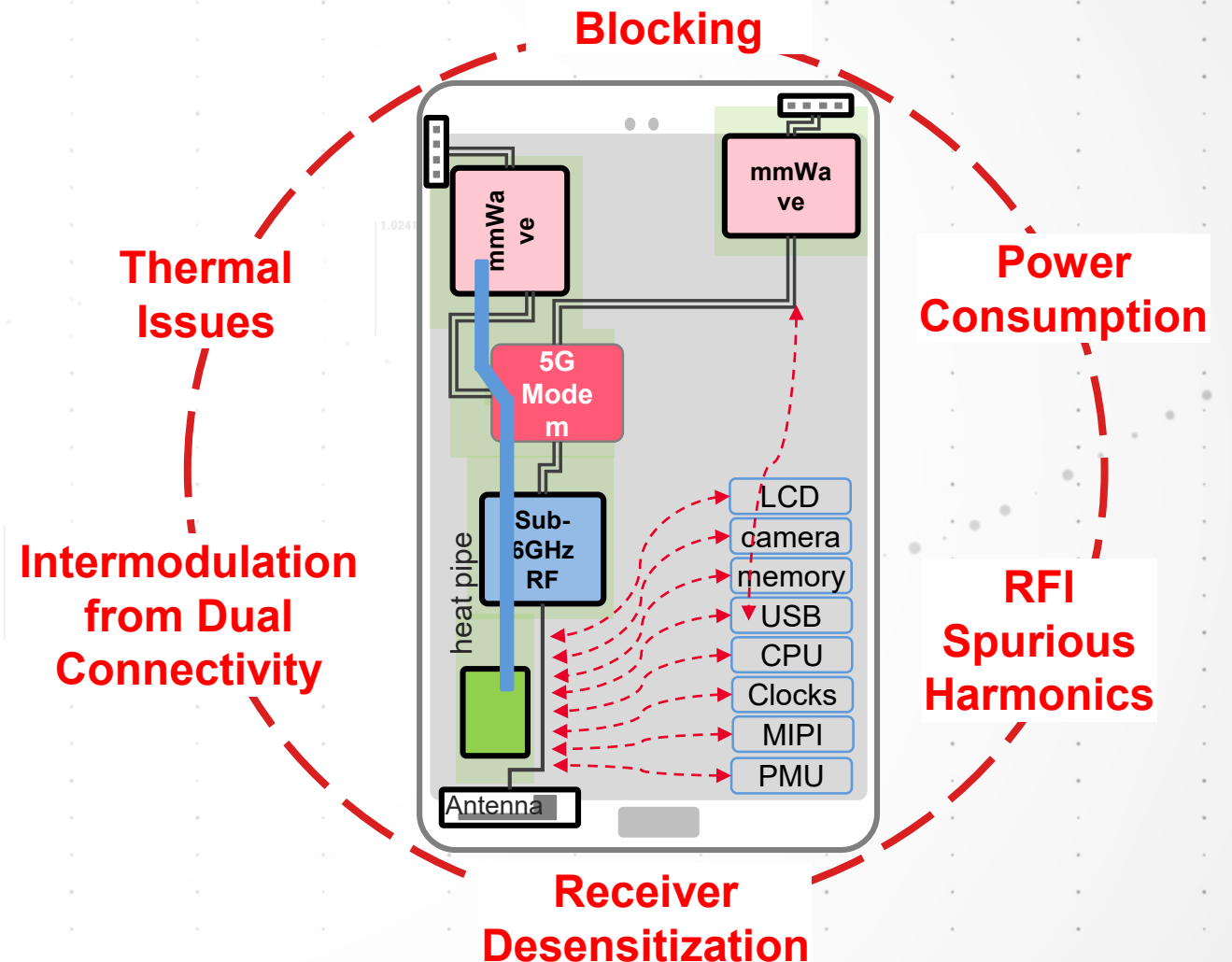
Physical Layer Design Challenges



How to Address 5G Physical Layer Design Challenges?

Model-Based Design for:

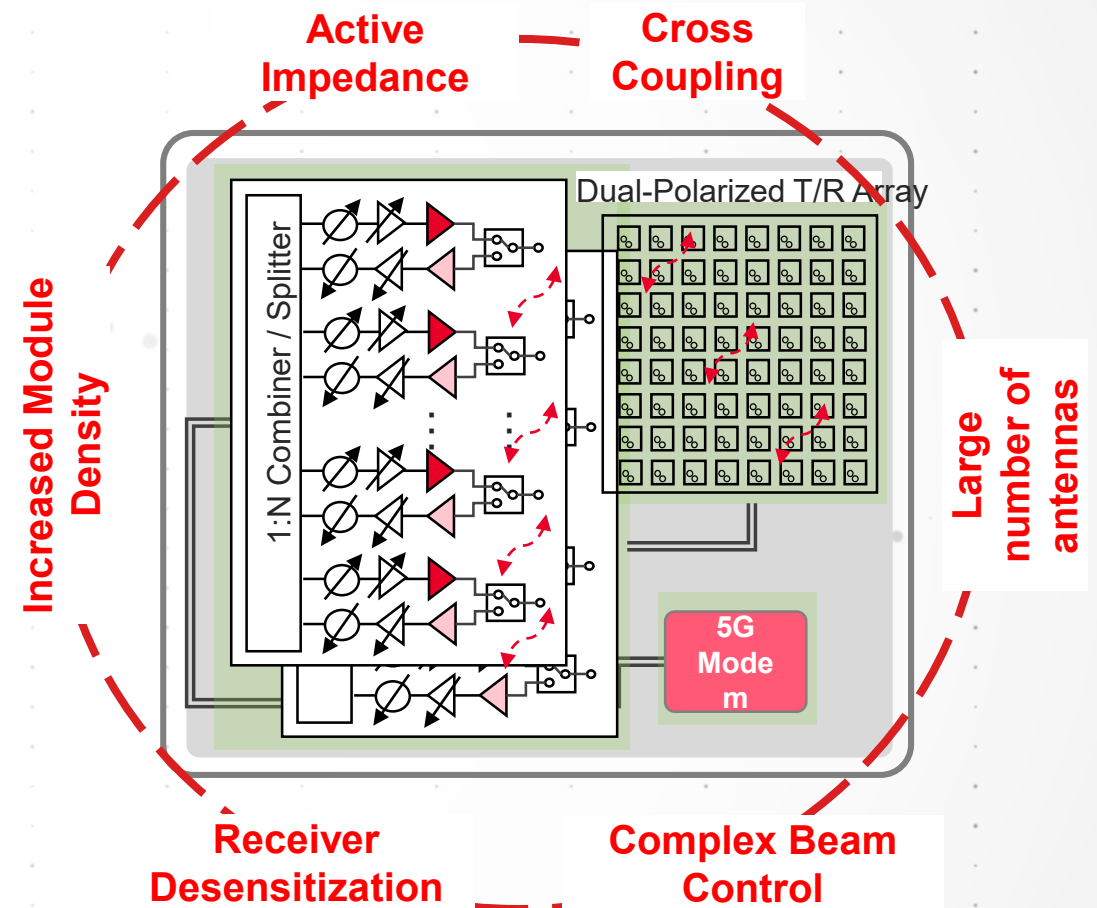
- Exploring enabling technologies and recommended system architectures
- Analyzing system performance for various use cases
- Specifications from components to sub-systems to the entire system



How to Address 5G Physical Layer Design Challenges?

Integrated R&D Workflow:

- Share active design files across multiple disciplines
- Validate system level performance with baseband, RF, antenna integrated simulation
- Use the same measurement science between design and test

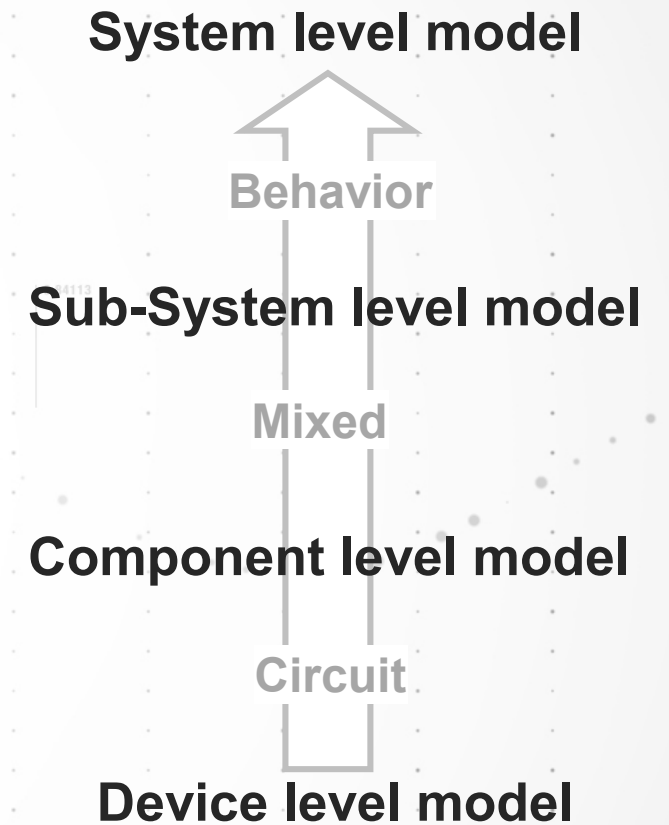
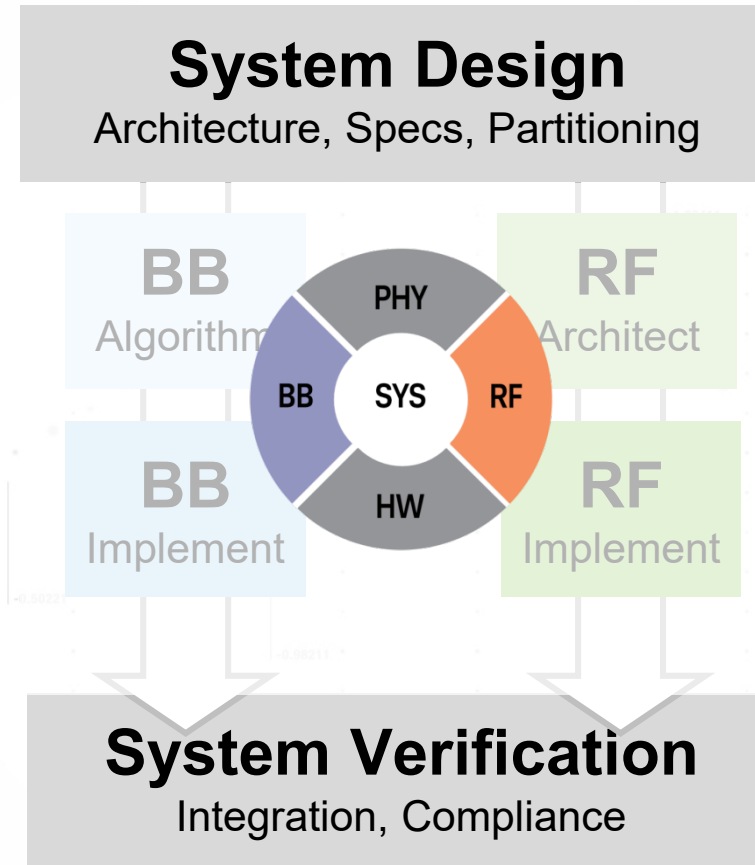
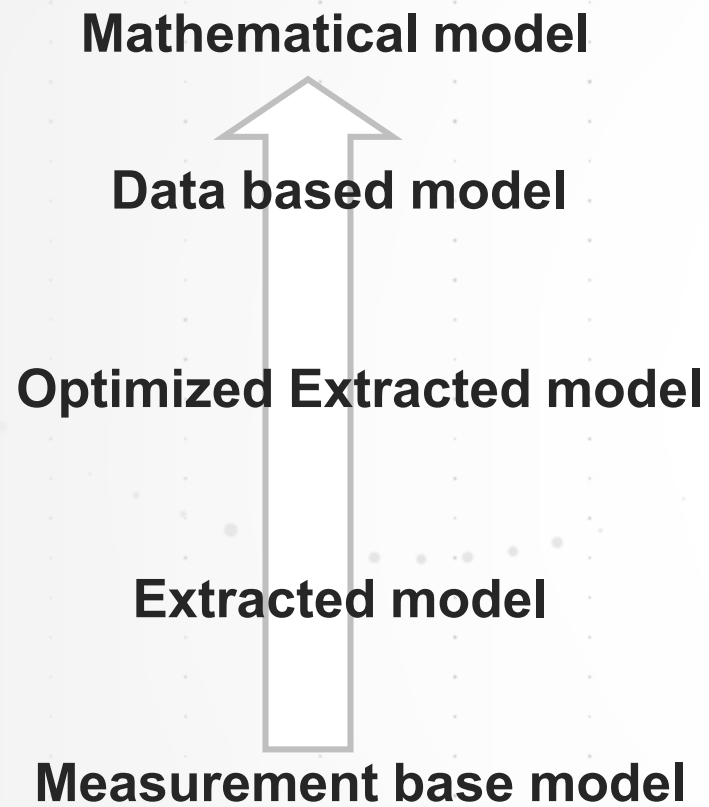


Customer Premises Equipment
Small Cell Base Transceiver Station

Model-Based Design



Modeling in the Design Work Flow



Model-Based Design and Verification for RF

MMWAVE 5G NR TRANSMITTER

Models used in system level simulation:

- Behavioral device models
- Frequency dependent data based models
- S-parameters
- X-parameters

Amplifiers:

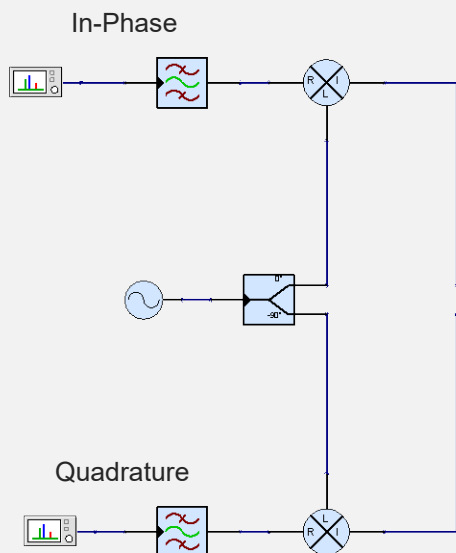
- Gain, Noise Figure, OP1dB, OPSAT, OIP3, OIP2

Filter Bank and Switches:

- Cover wide frequency range
- Return (impedance mismatch) loss
- Insertion (dissipative) loss

Balanced Amplifiers

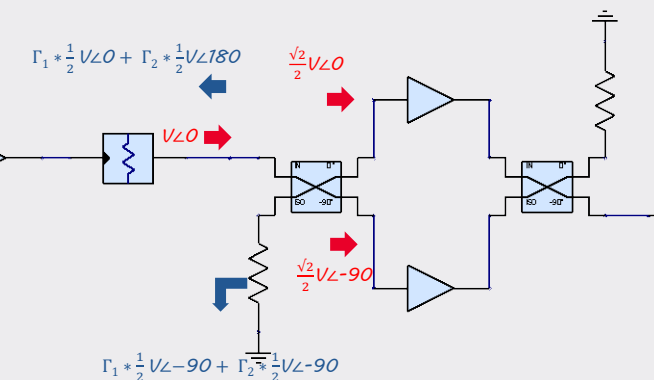
- Common at millimeter wave with 90-degree hybrid couplers
- More immune to load pull effects



IQ Modulator IC:

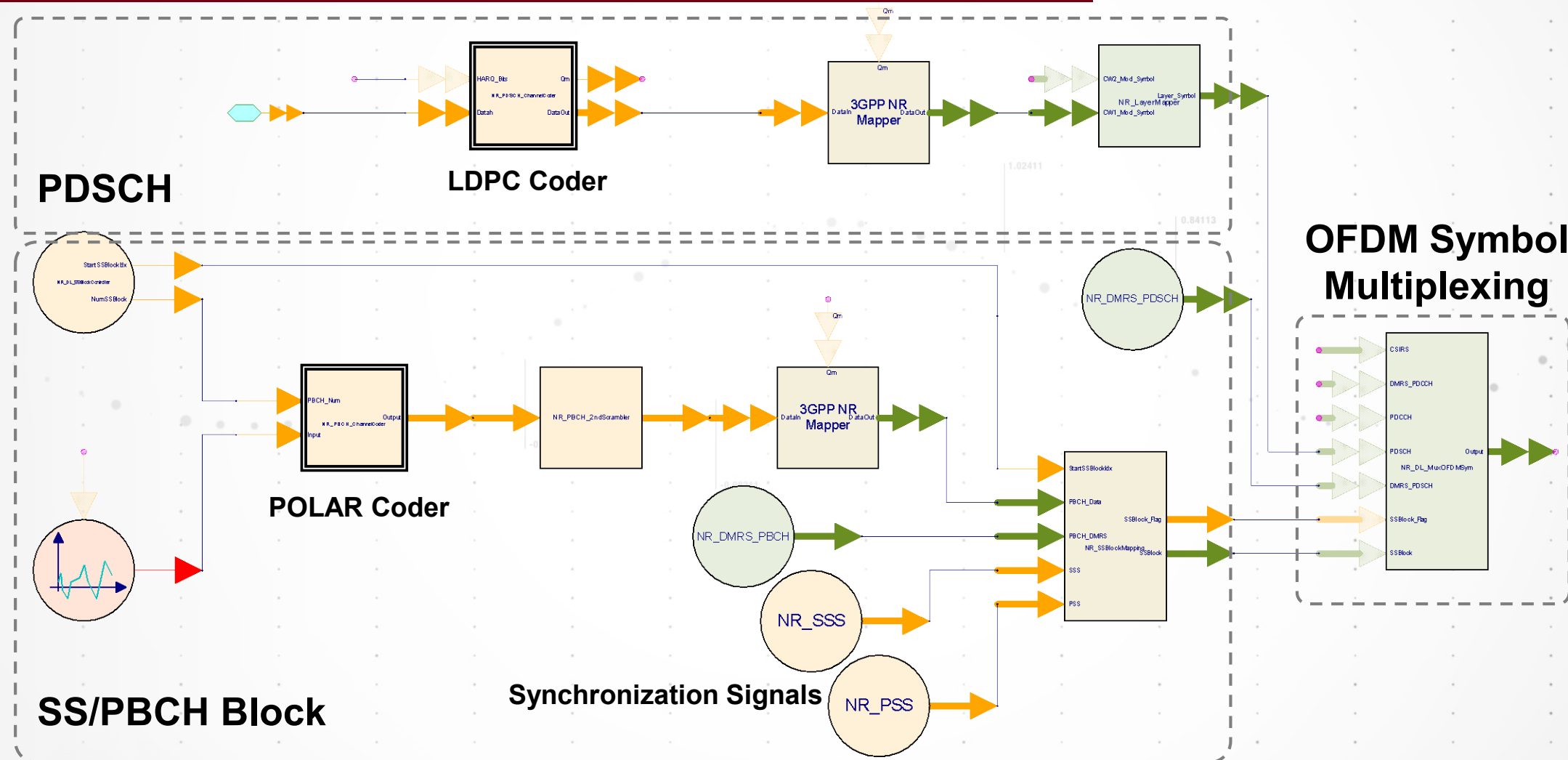
- Local Oscillator isolation to the mixers
- Phase noise performance
- IQ imbalance
- Frequency dependent behavior

image: Keysight, mmWave transceiver module



Model-Based Design and Verification for DSP

5G NR DOWNLINK TRANSMIT CHANNEL

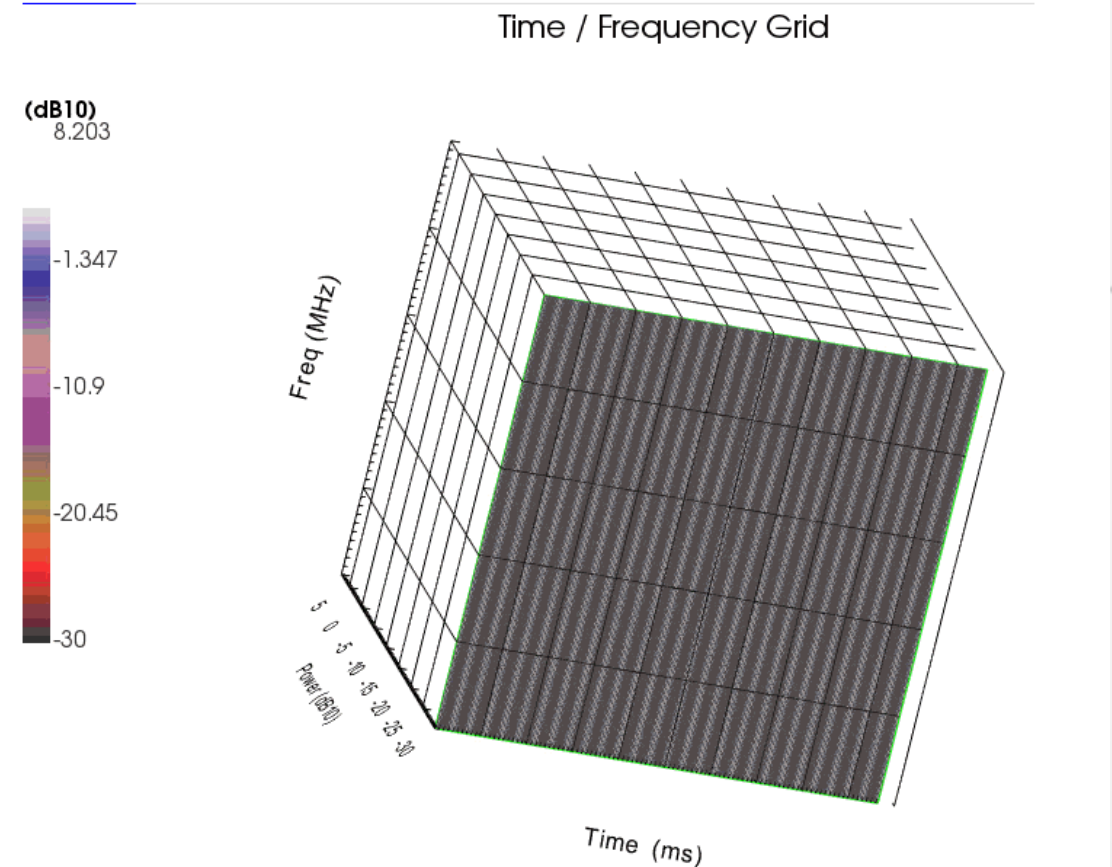


Reference Modeling IP

https://www.keysight.com/upload/cmc_upload/All/Understanding_the_5G_NR_Physical_Layer.pdf

- Create standard compliant waveform for the 5G NR standard release 15, and analyze the signals quality that passed through the impaired hardware in the communication link.
- The 5G waveform provide scalable numerology and flexible frame structure to support various applications(eMBB, URLLC, mMTC, etc.)
- However, increased complexity of the specification make RF designer and test engineer difficult to verify the hardware.
- Having a golden waveform and reference measurement engine is critical part of 5G system design (ex: vector IOT, RF conformance test)
 - 3GPP TS 38.211 - Physical Channels and Modulation
 - 3GPP TS 38.212 - Multiplexing and Channel Coding

5G Uplink Resource Grid

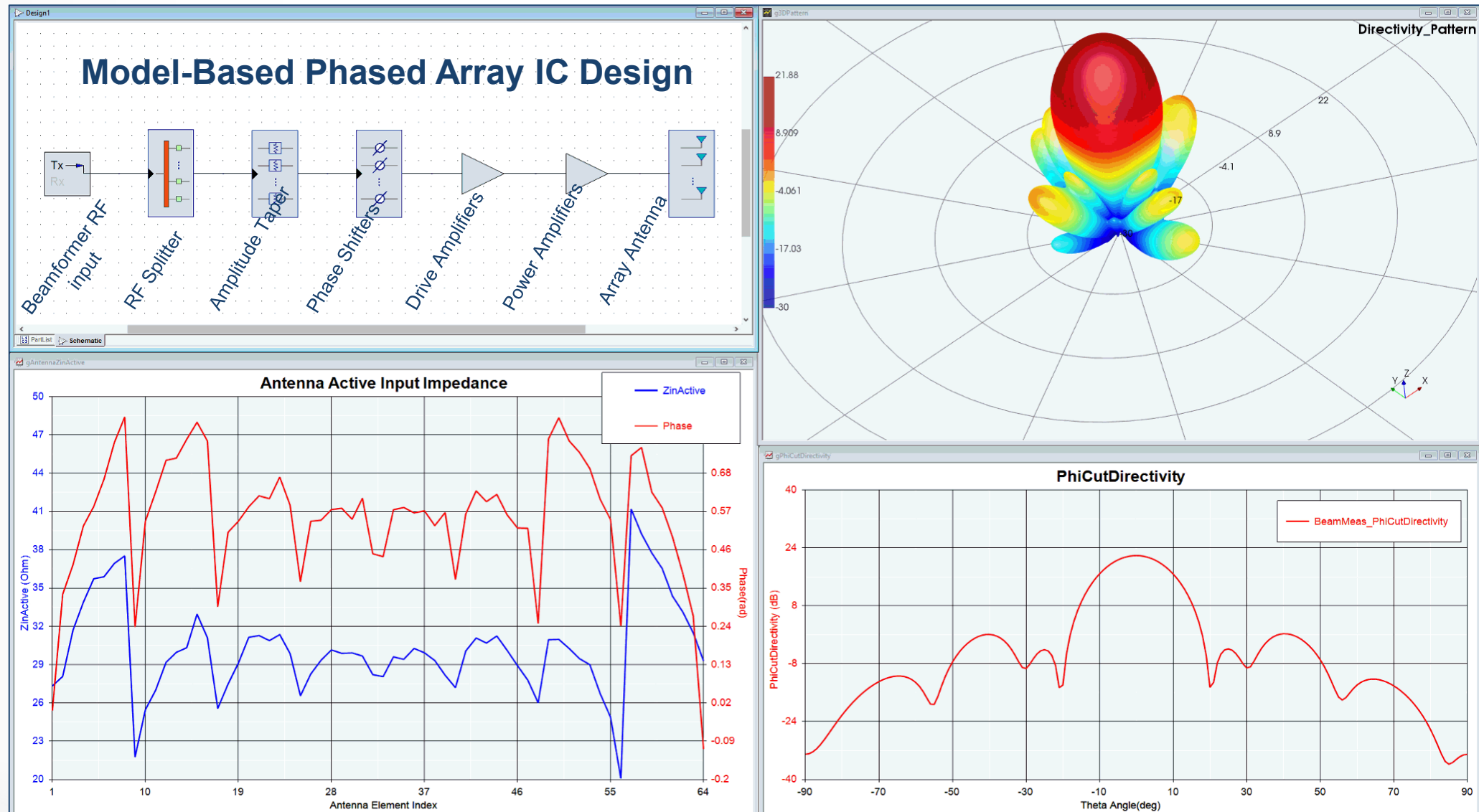


SRS(Sounding Reference Signal) Hopping

Millimeter Wave Phased Array System Level Simulation



A mmWave 5G Beamformer Model



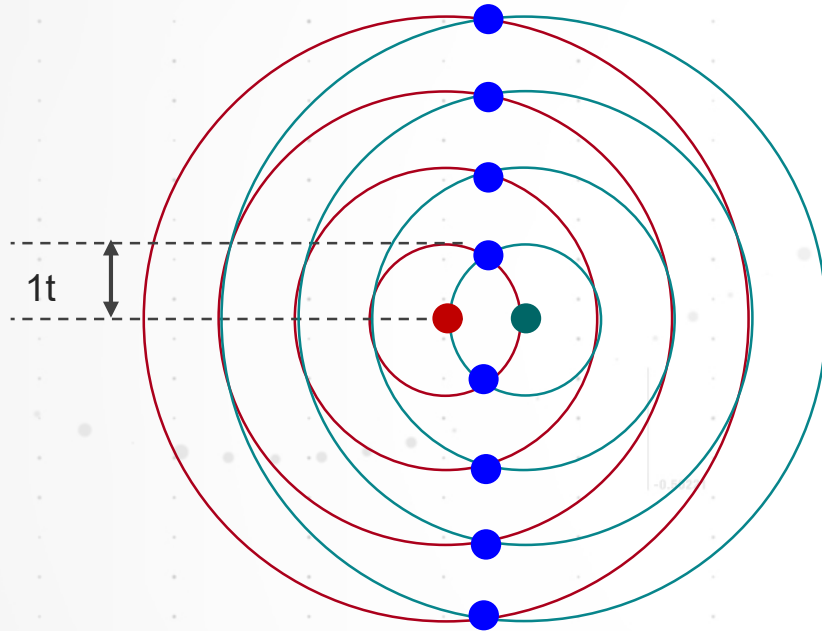
Phased Array Design Kit



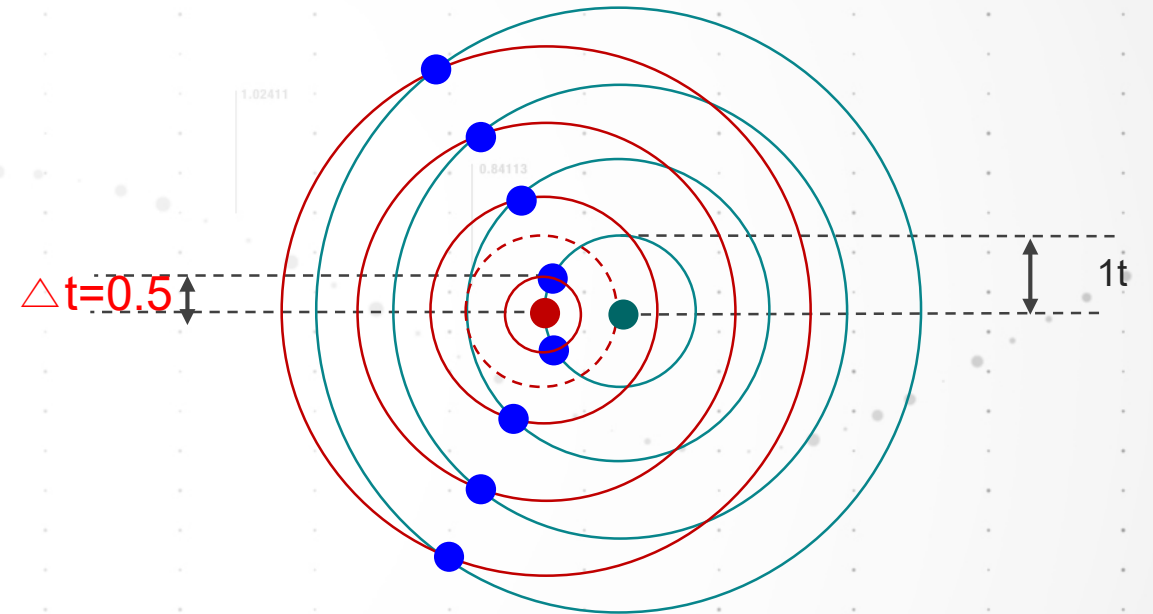
Phased Array Antenna Design

CONCEPT OF PHASED ARRAY BEAM STEERING

Overlapping of Concentric circles



a) Same frequency, delay=0



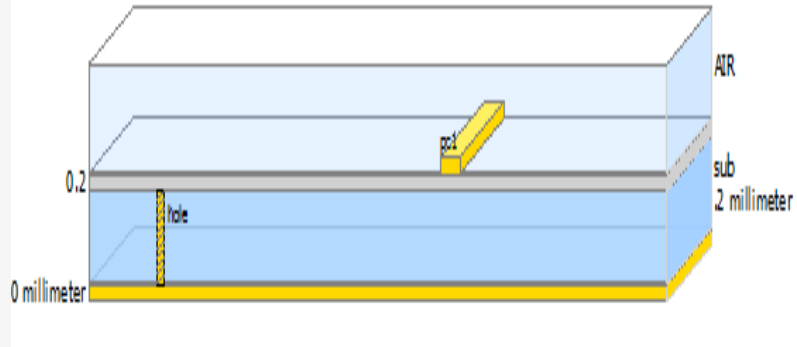
Time delay makes another direction overlapping

b) Same frequency, delay $\neq 0$

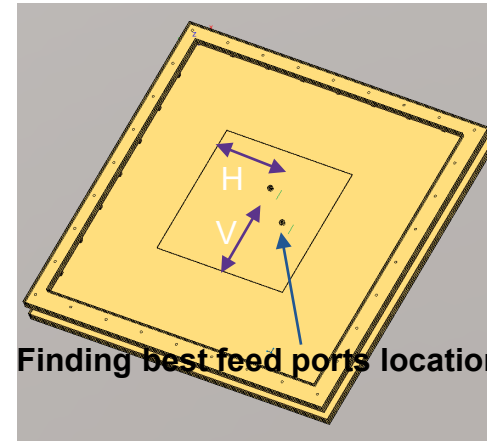
Array Antenna Design

Dual Polarization, MIMO, and Beamforming

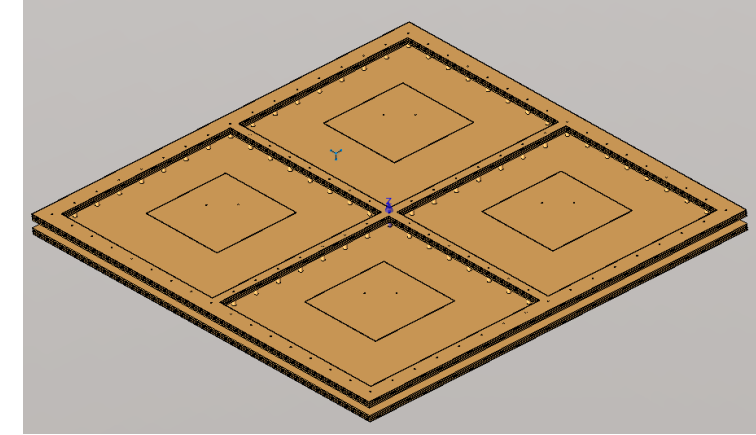
1. Layers substrate and feed lines design



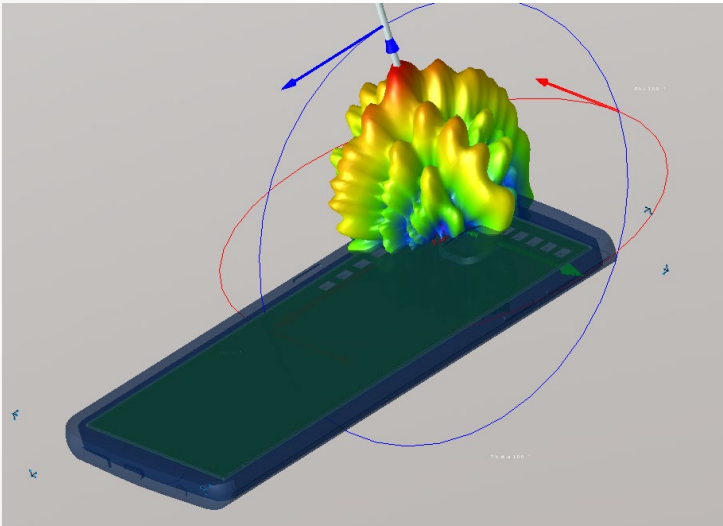
2. Single element design



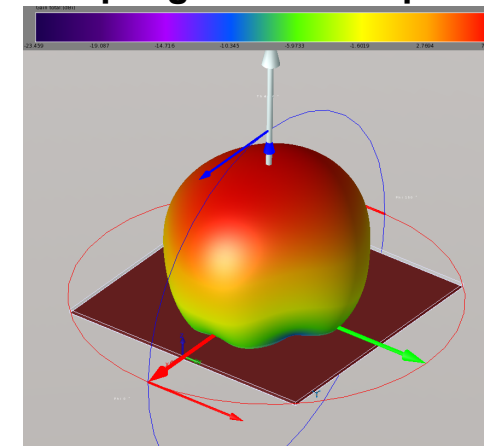
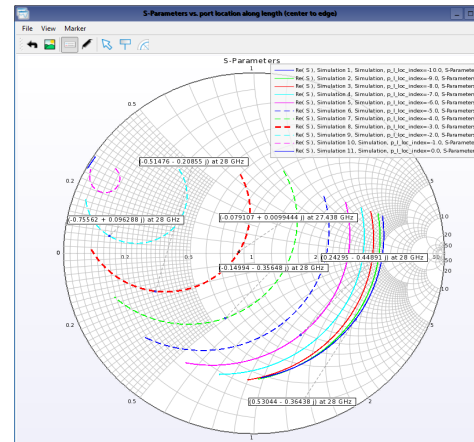
3. Array design



4. Characterize the array in a phone housing

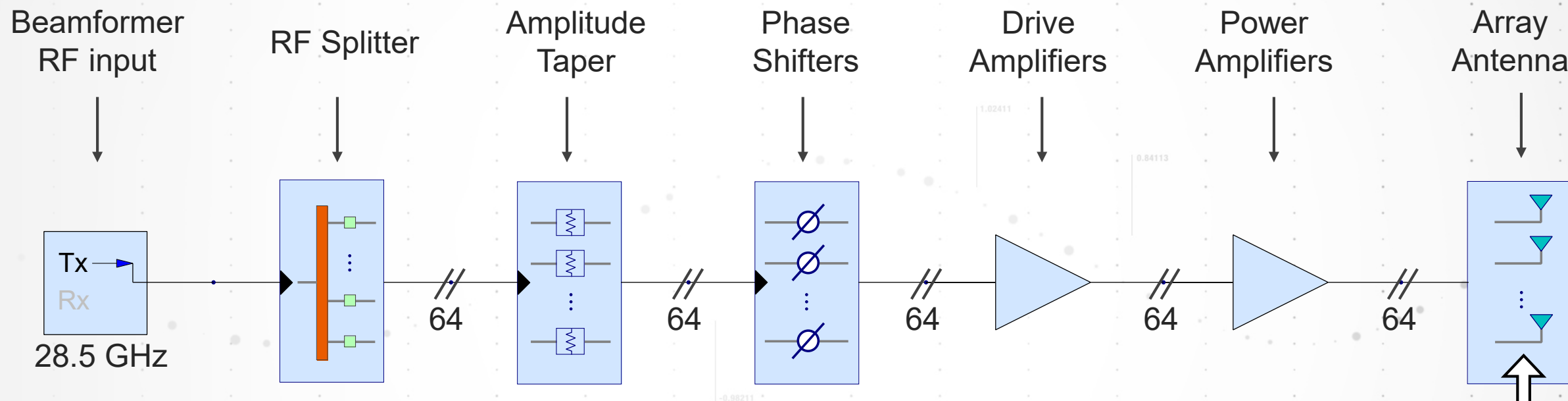


5. Generate far-field pattern and coupling matrix in S-parameter format



Phased Array Modeling

USING ANTENNA FAR-FIELD PATTERN AND COUPLING MATRIX

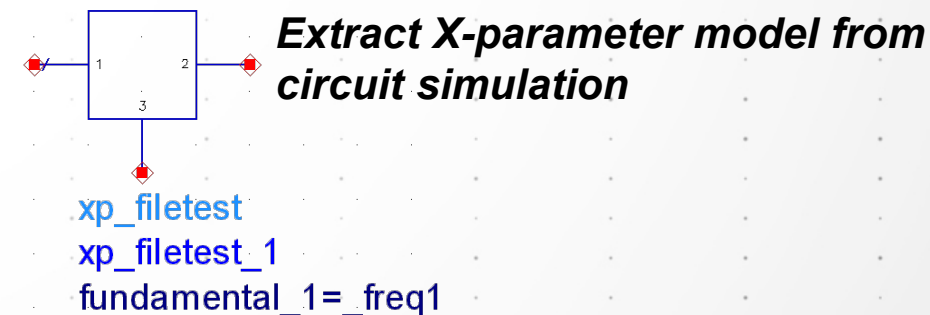
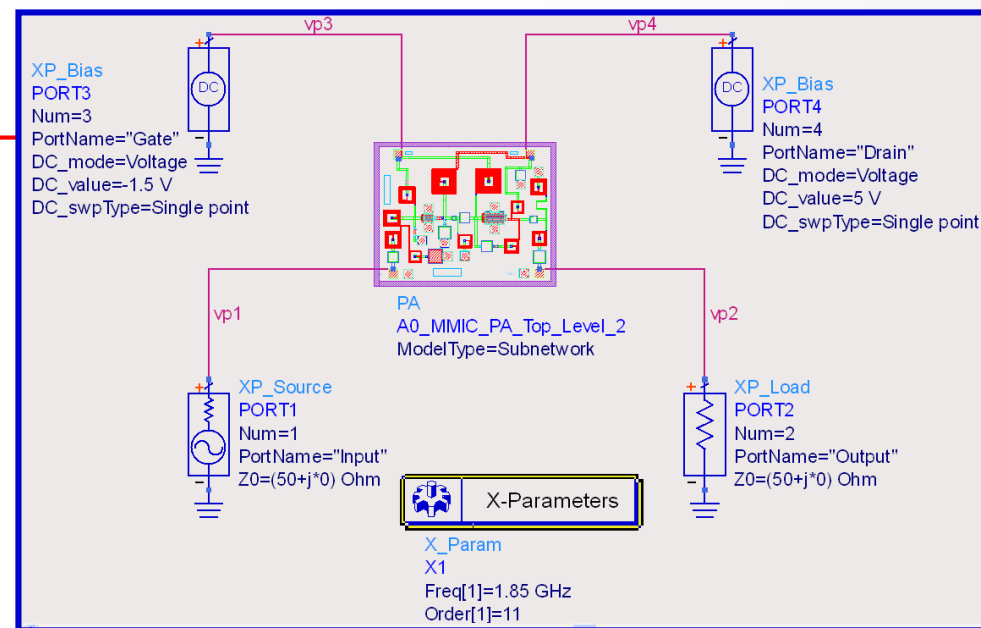
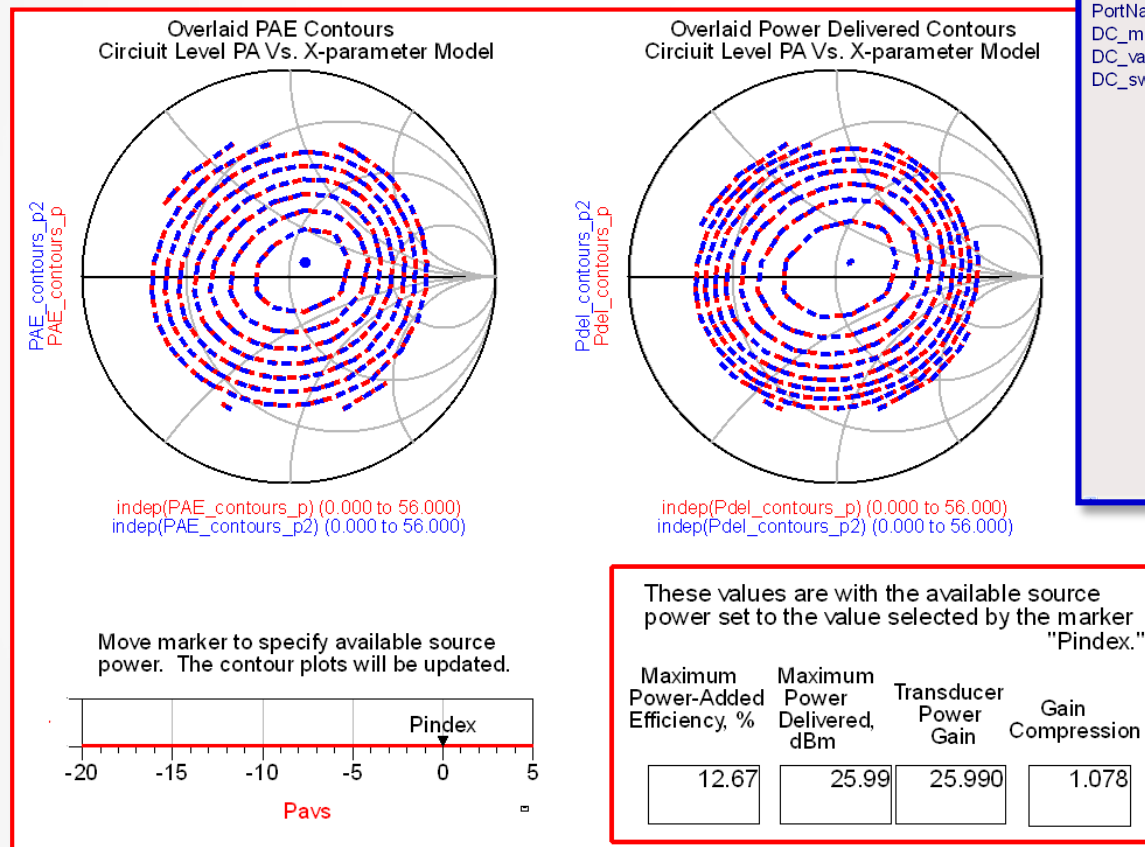


Extracted data from electromagnetic simulation

1. Element/array far-field pattern
2. S-parameter with coupling effect to analyze actively changing input impedances

X-Parameters

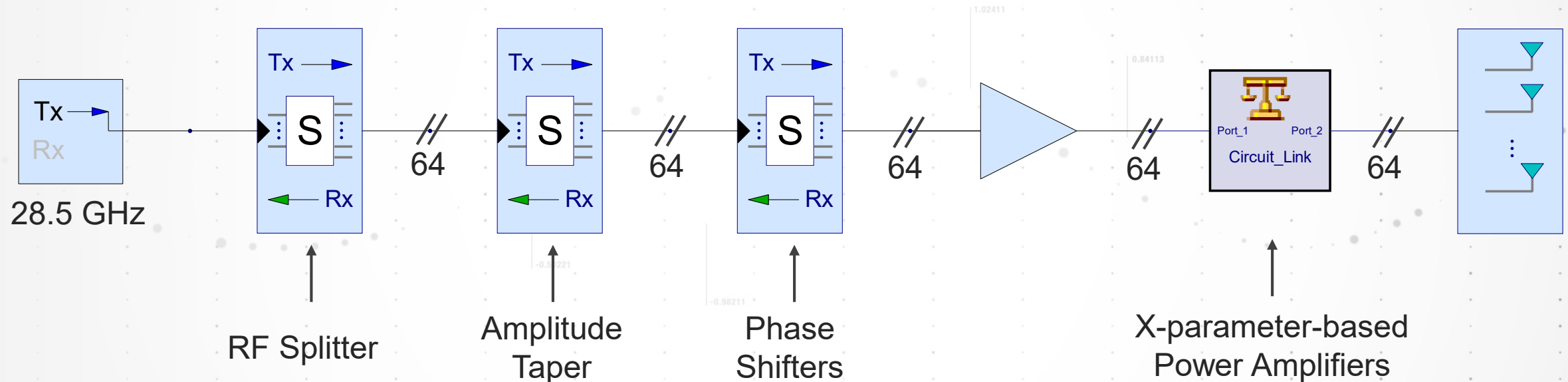
Model (Red) Vs. Circuit (Blue)



Phased-Array Modeling

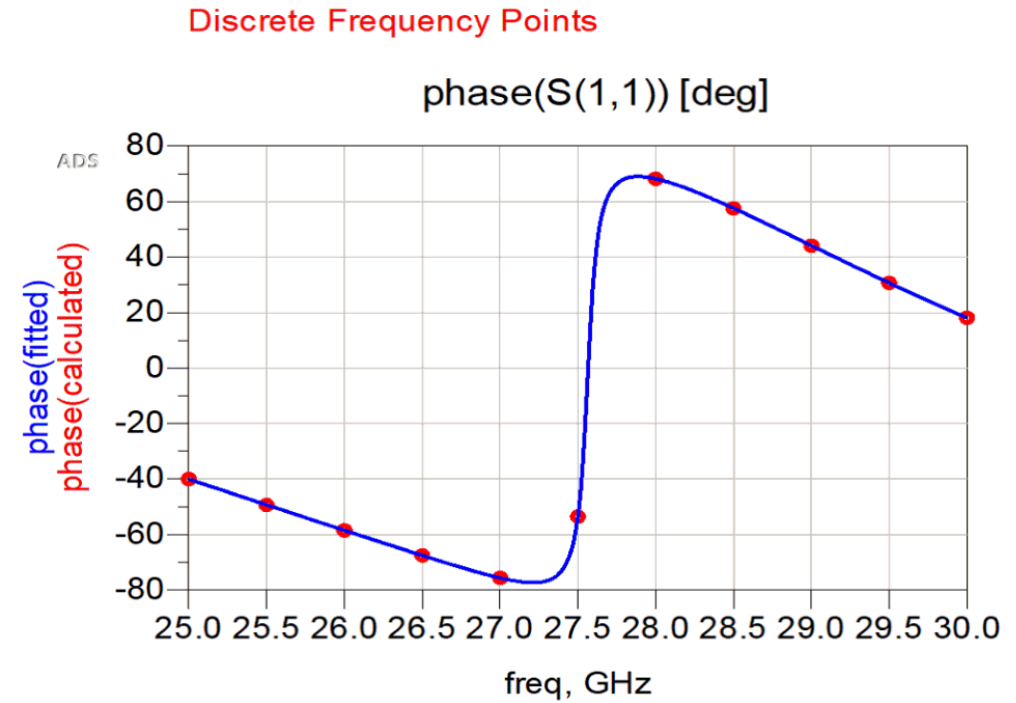
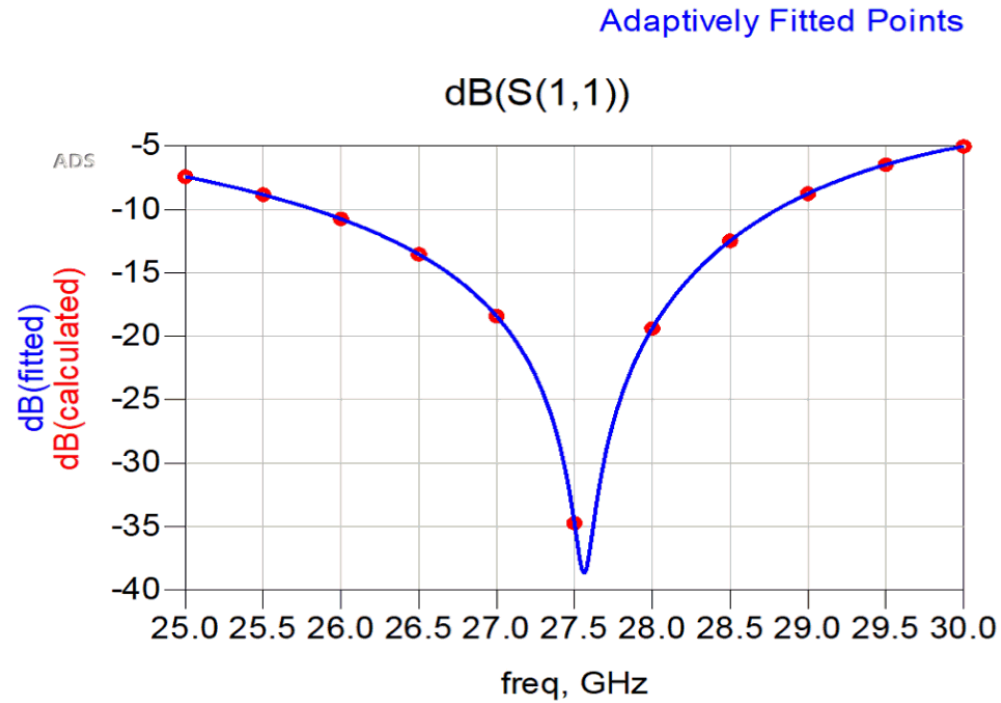
USING S-PARAMETERS AND X-PARAMETERS

Increased Accuracy of System Level Simulation

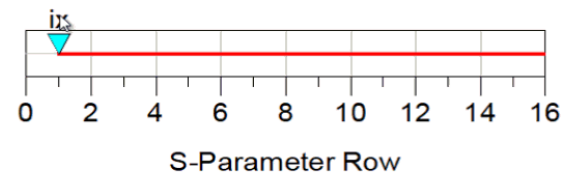


S and X parameters from circuit design

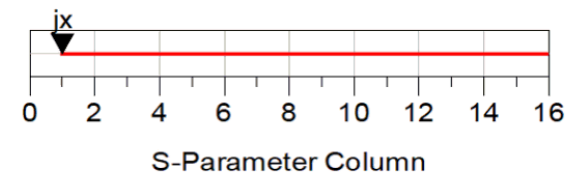
Cross Coupling S-Parameter Matrix



**4x4
Antenna Array**



**16x16
Coupling Matrix**



Active Input Impedance

Method 2: The interaction between the array elements using S-parameters

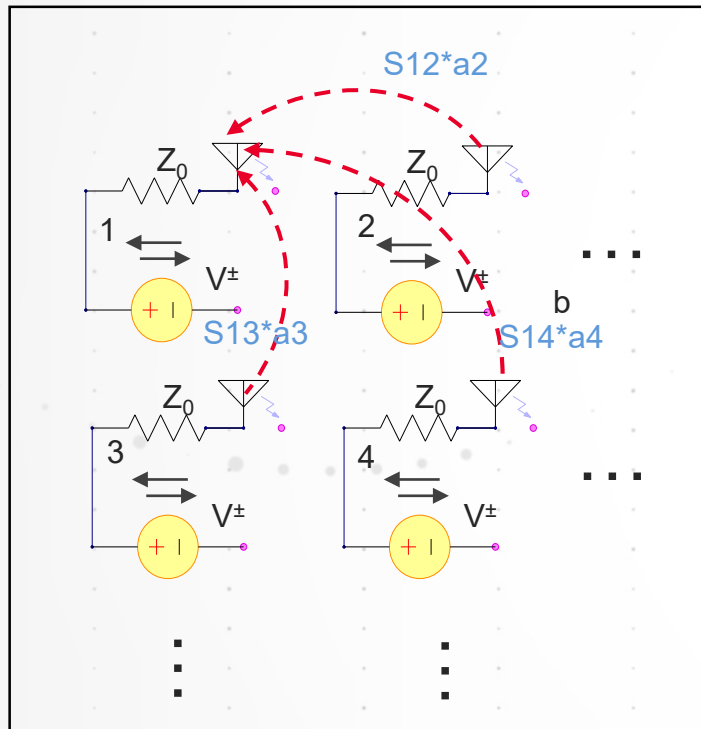


Figure. Equivalent current and voltage representation of an uniform rectangular array [M x N]

- Method 1: applying amplitudes and phases for all scan angles to the whole array using electromagnetic solver

- Method 2: Indirect method using S-parameters
 - Active reflection coefficient seen at element m:

$$\Gamma_m(\theta, \phi) = \sum_{n=i}^K s_{mn} e^{-j[(i_n - jm)u + (j_n - im)v]}$$

$$u = k a \sin \theta \cos \phi, v = k b \sin \theta \sin \phi$$

- Active input impedance at the m^{th} element for i^{th} scan angle

$$Z_{in}^m(-\theta) = Z_0 \frac{1 + \Gamma_m(-\theta_i)}{1 - \Gamma_m(-\theta_i)}$$

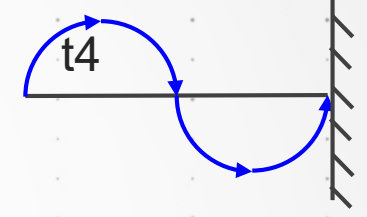
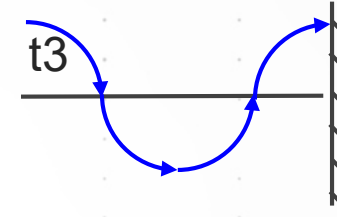
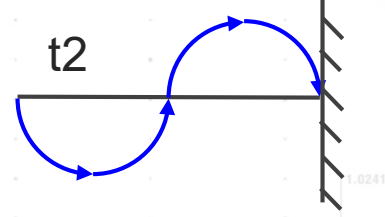
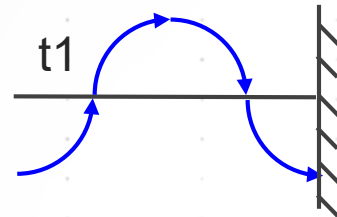
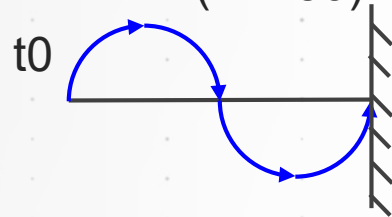
Remind “ Z_L vs VSWR” to understand “Active Impedance”

→ Incident Wave

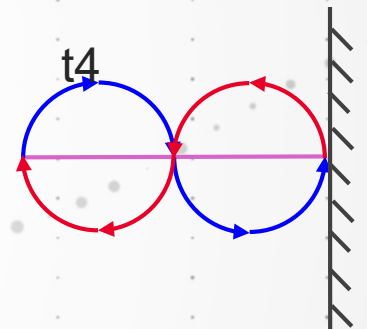
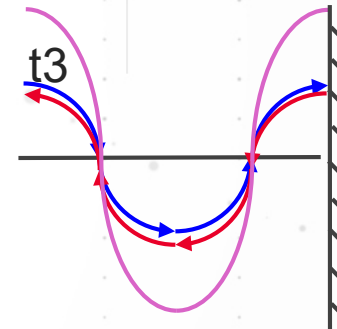
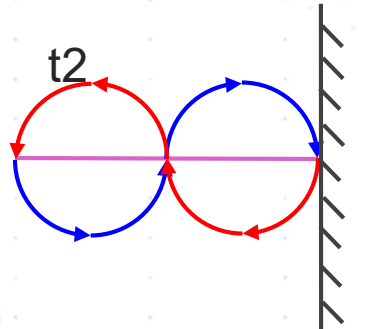
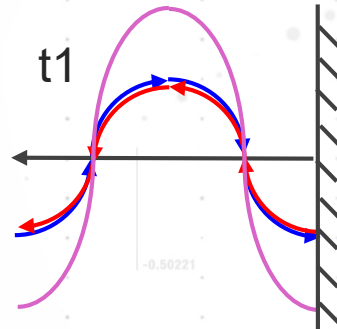
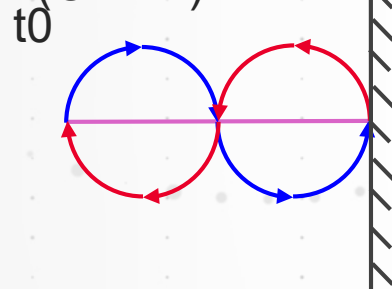
← Reflected Wave

— Summed Wave

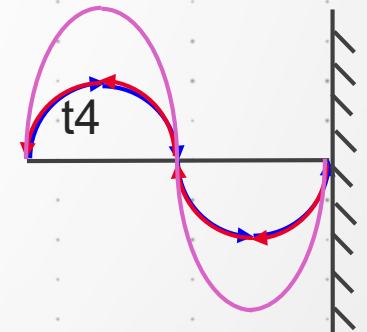
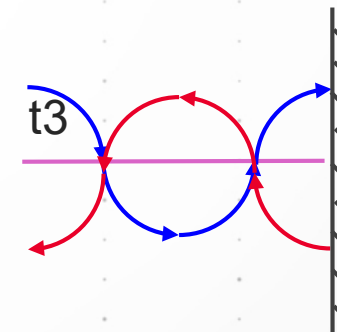
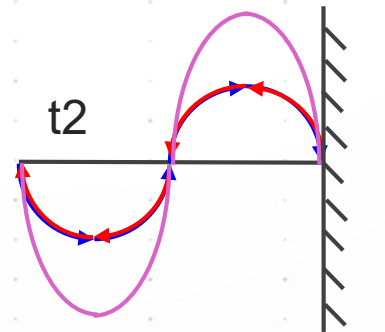
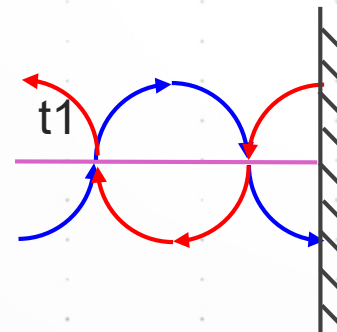
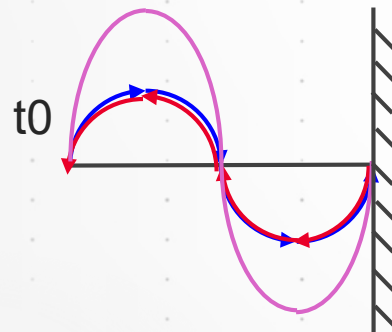
1) Matched Load ($Z_L=50$)



2) $Z_L = \infty$ (OPEN)



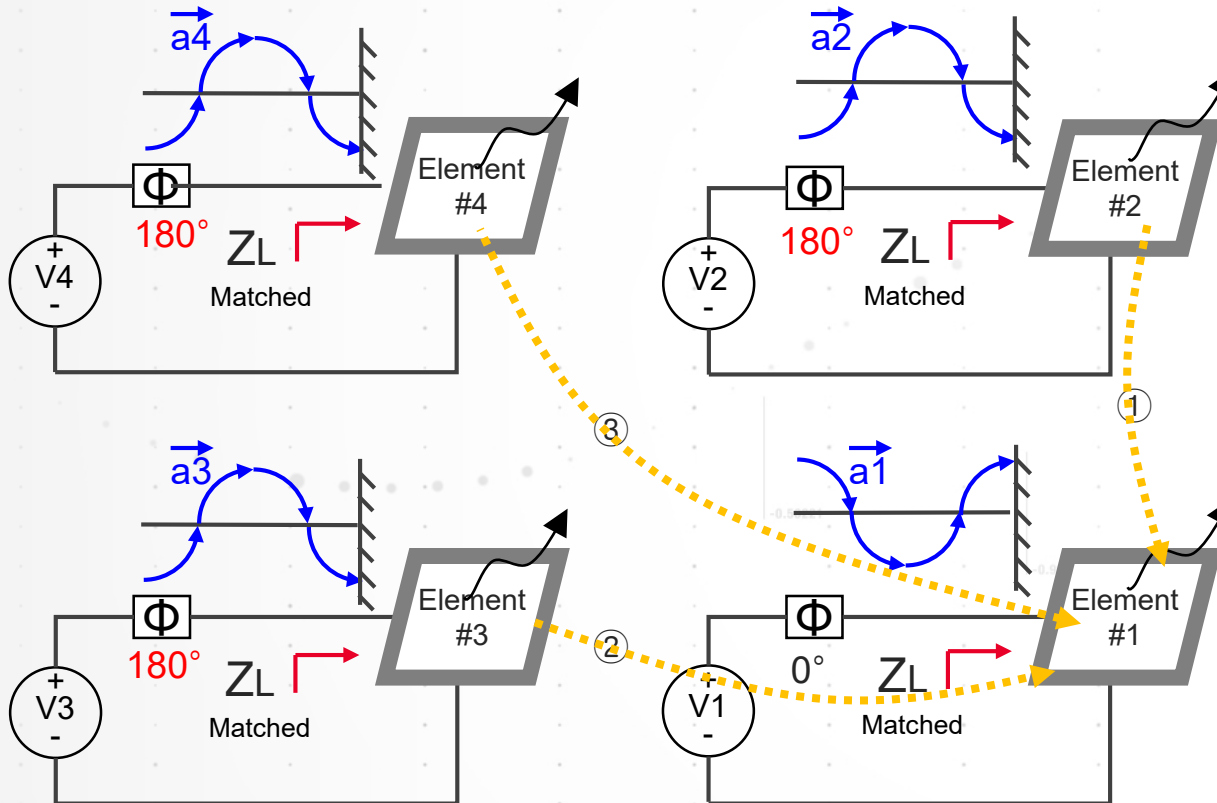
3) $Z_L = 0$ (SHORT)



Active Impedance by Coupling of Nearby Antenna

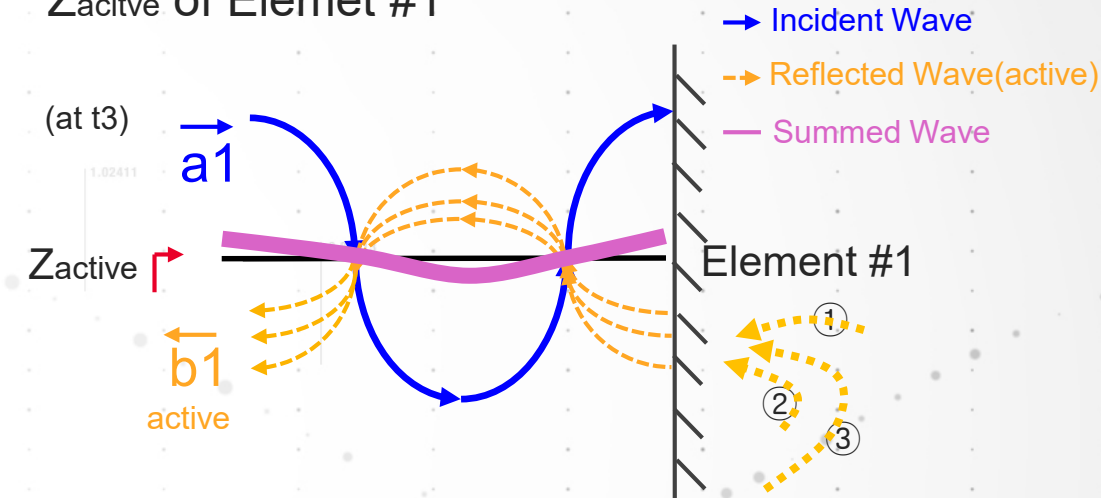
AMPLITUDE AND PHASE SUMMED UP EFFECT

Matched Patches : Would be no reflection



..... Coupling (ignored delay to simply understand)

Z_{active} of Element #1



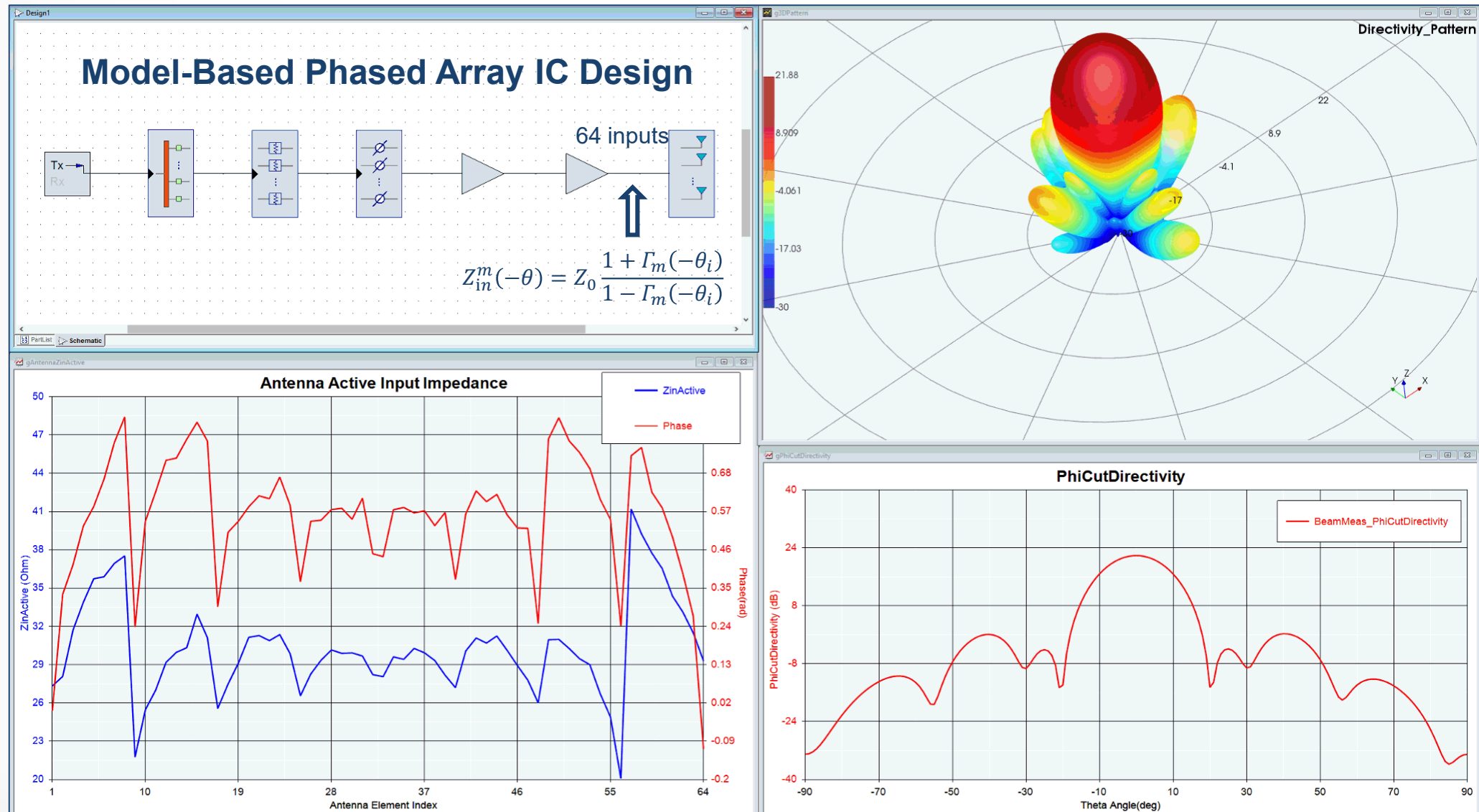
In this case $Z_{active} \ll 50$
(By amplitude and phase of nearby signals)

$$S_{11active} = b_{1active}/a_1$$

Where : $b_{1active} = S_{11} \cdot a_1 + (S_{12} \cdot a_2 + S_{13} \cdot a_3 + S_{14} \cdot a_4)$

$$Z_{nn(active)} = \frac{1 + S_{nn(active)}}{1 - S_{nn(active)}} \cdot Z_o$$

Active Input Impedance Change with Beam Scan



Simulation for Radiated Link Performance Analysis



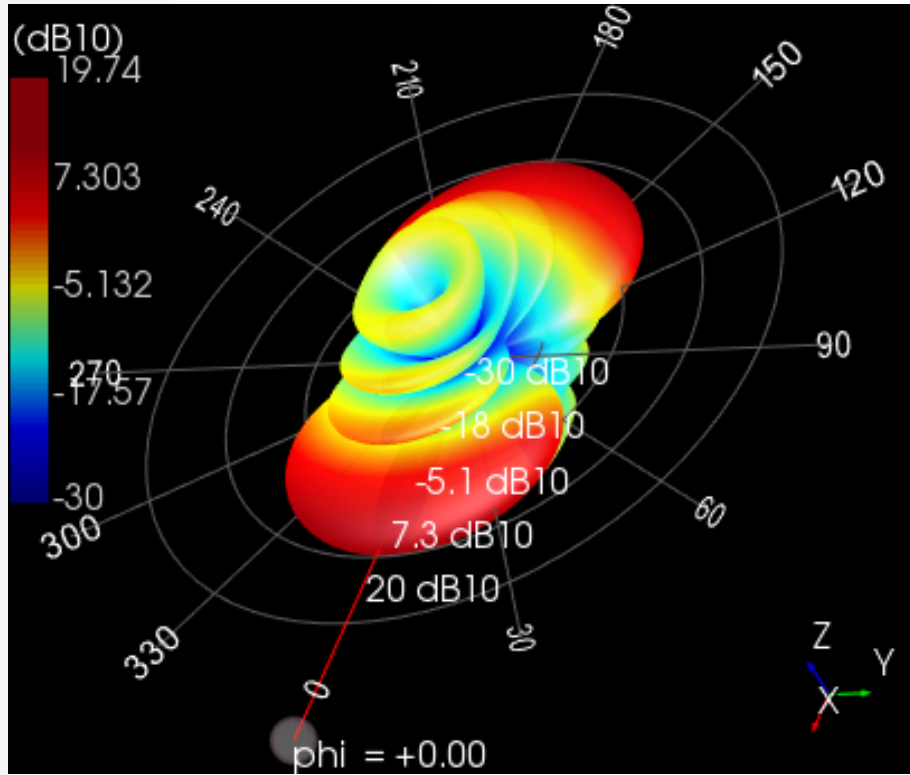
Over-The-Air(OTA) Simulation

<http://literature.cdn.keysight.com/litweb/pdf/5992-2600EN.pdf>

- Why radiative test (a.k.a OTA)?
 - In FR2, there is not enough space to accommodate all the cable connectors to all antenna element in the phased array. The complexity of test setup is very high.
 - The cost of K and V connectors are expensive compared to SMA connector. You need those high cost test accessories in millimeter wave frequency.
 - How to measure beam direction in conductive test? Don't take that approach. OTA is the right physical nature of this type of measurement.
- BTW, are you going to start this huge task without executing simulation based study?

- 3GPP TS 38.141 - NR; Base Station (BS) conformance testing
- 3GPP TS 38.521 - NR; User Equipment (UE) conformance specification

Antenna Array Modeling for BS and MS Beam Forming



Configuration:

- * Row x Column : 8 x 2
- * Horizontal radiating element spacing dh/λ : 0.5
- * Vertical radiating element spacing dv/λ : 0.5
- * Array placement : YZ plane
- * Boresight direction : X-Axis, theta(elevation) 90 degree, phi(azimuth) 0 degree
- * Range of angle : theta(0:180), phi(0:360), full sphere

Composite antenna pattern for beam i

$$A_{A,Beam i}(\theta, \varphi) = A_E(\theta, \varphi) + 10 \log_{10} \left(\left| \sum_{m=1}^{N_H} \sum_{n=1}^{N_V} \boxed{w_{i,n,m}} \cdot \boxed{v_{n,m}} \right|^2 \right)$$

Super position vector

$$\boxed{v_{n,m}} = \exp \left(i \cdot 2\pi \left((n-1) \cdot \frac{d_V}{\lambda} \cdot \cos(\theta) + (m-1) \cdot \frac{d_H}{\lambda} \cdot \sin(\theta) \cdot \sin(\varphi) \right) \right)$$

Weight vector

$$\boxed{w_{i,n,m}} = \frac{1}{\sqrt{N_H N_V}} \exp \left(i \cdot 2\pi \left((n-1) \cdot \frac{d_V}{\lambda} \cdot \sin(\theta_{i,etilt}) - (m-1) \cdot \frac{d_H}{\lambda} \cdot \cos(\theta_{i,etilt}) \cdot \sin(\varphi_{i,escan}) \right) \right)$$

Measurement Grid Types

3GPP TS 38.521-2, Annex M Measurement Grids

3GPP TS 38.141-2, Annex I TRP Measurement Grids

- The azimuth and elevation angles are uniformly distributed

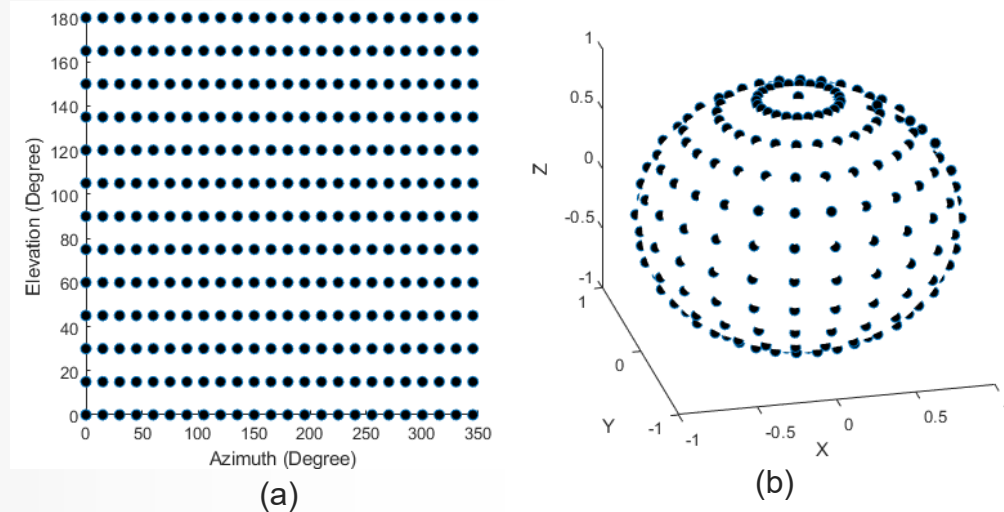


Figure 1. **Constant Step** Size Measurement Grid
(a) Cartesian 2D Azimuth / Elevation (b) Spherical 3D

- Measurement points are evenly distributed on the surface of the sphere with a **constant density**
- Sample points projection method to the surface of sphere - **charged particle implementation**

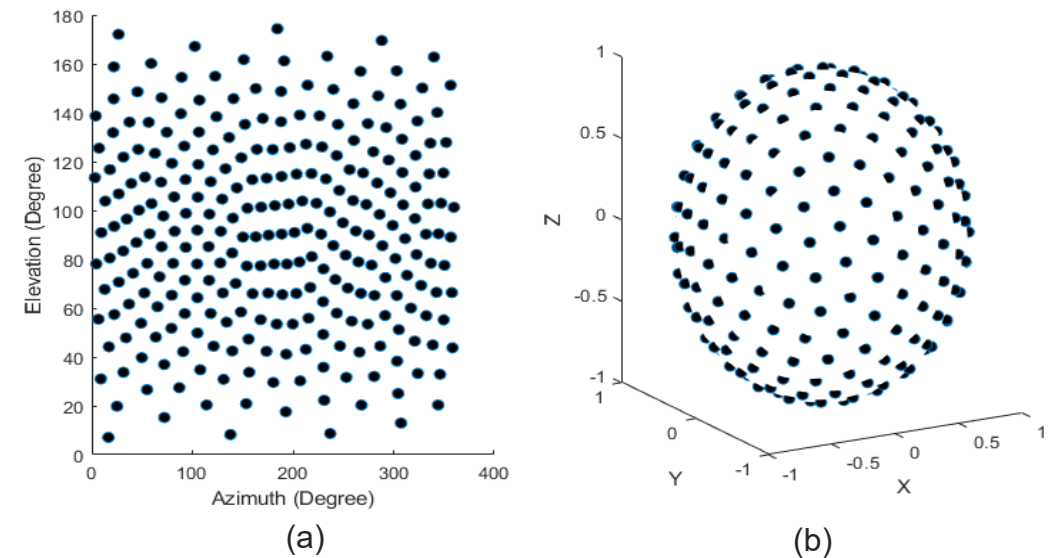


Figure 2. **Constant Density** Measurement Grid
(a) Cartesian 2D Azimuth / Elevation (b) Spherical 3D

Radiated Transmitter Characteristics

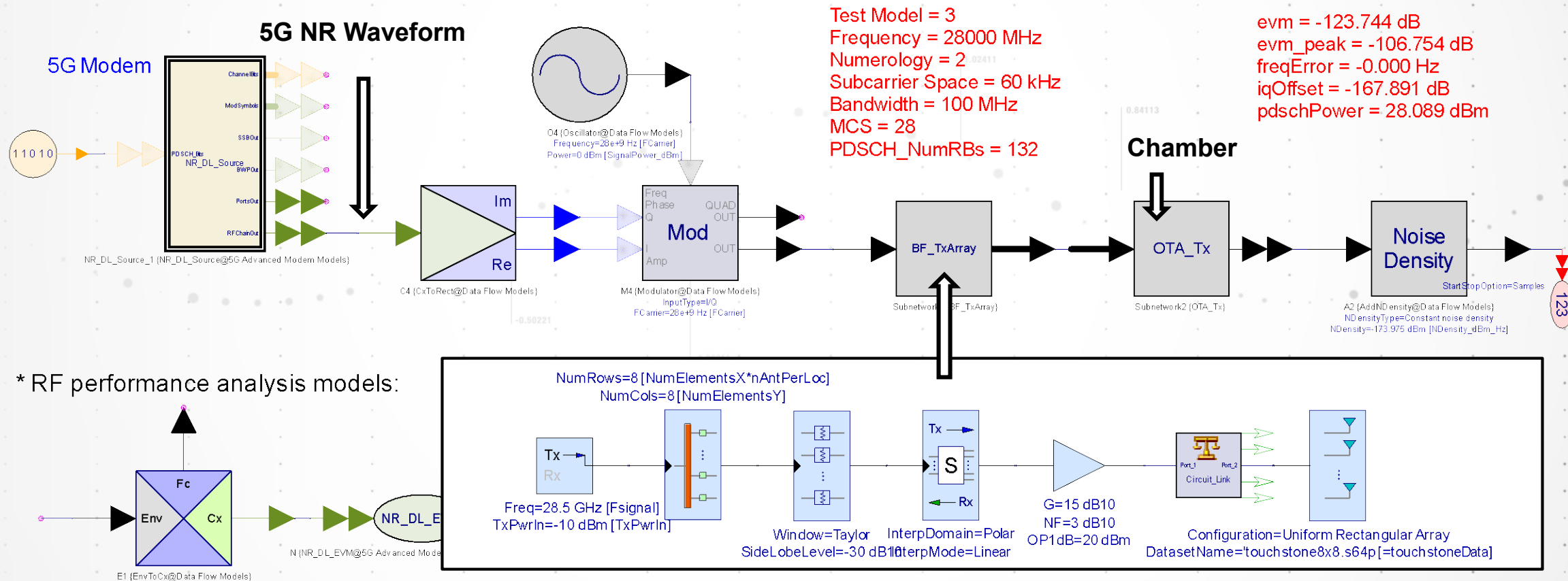
5G NR FR2 BS Radiated Transmitter Characteristics

3GPP TS 38.141.2, Section 4.9.2 Test Models

* 5G NR Downlink Transmitter Models:

* Channel configuration information:

* Tx Characteristics Measurement:



Workspace Design Revision: V1.0, 1/18/2019

Radiated Receiver Characteristics

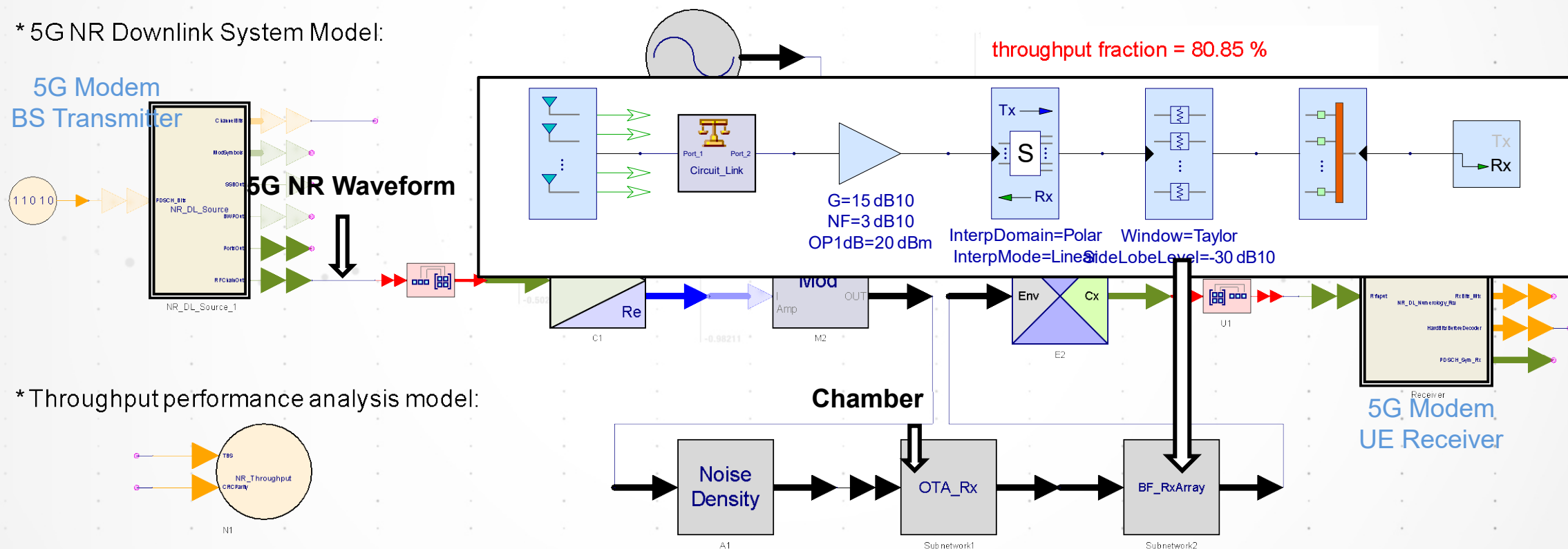
5G NR UE FR2 Radiated Receiver Characteristics

* Channel configuration information:

3GPP TS 38.521, Annex A DL Reference Measurement Channel

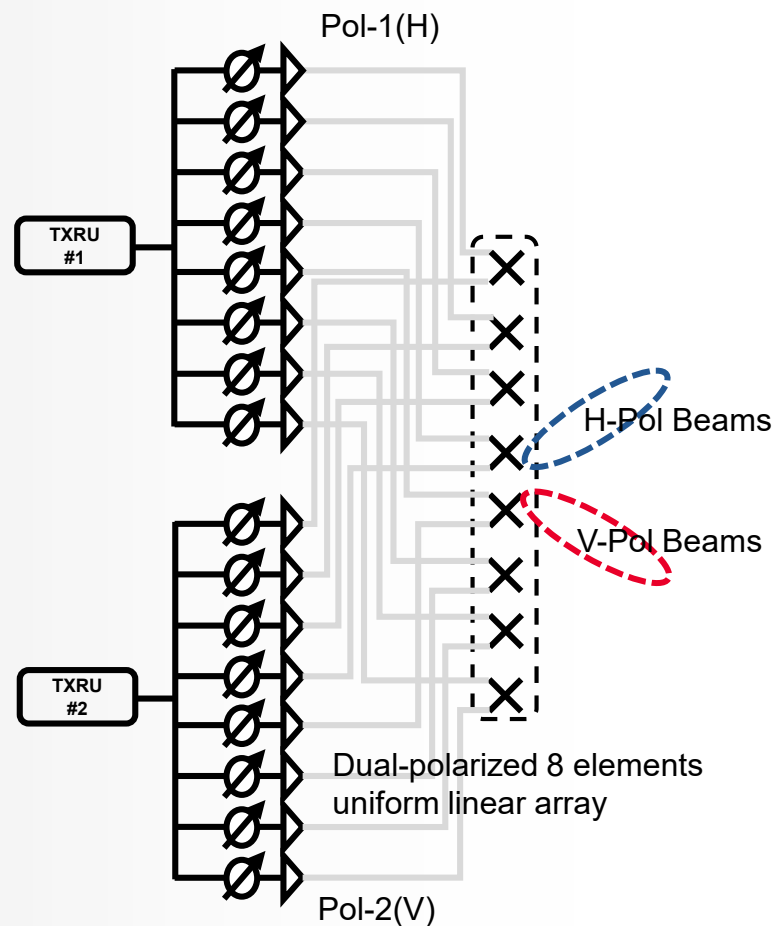
FRCTable = 22, Frequency = 28000 MHz, Numerology = 3, Subcarrier Space = 120 kHz, Bandwidth = 50 MHz, MCS = 4, PDSCH_NumRBs = 32

* 5G NR Downlink System Model:



Design Revision:V1.0, 2/4/2019

Modeling for Real World Scenario



[BS TXRU and Antenna Model]

3GPP TS 38.901

- Polarization type : Dual
- Polarization modeling method: Model-2
- Polarization angle [0,90]
- XPRindB: cross polarization ratio

Antenna pattern files

- Complex vector components: Mag(Etheta, Ephi), Ang(Etheta, Ephi)
- PhaseCenter_Yes: antenna position information from pattern files
- PhaseCenter_No: antenna position information from user definition



Scenario #1

- Number of stream (PDSCH_DMRS) : 2
- # of mmWave module: 1

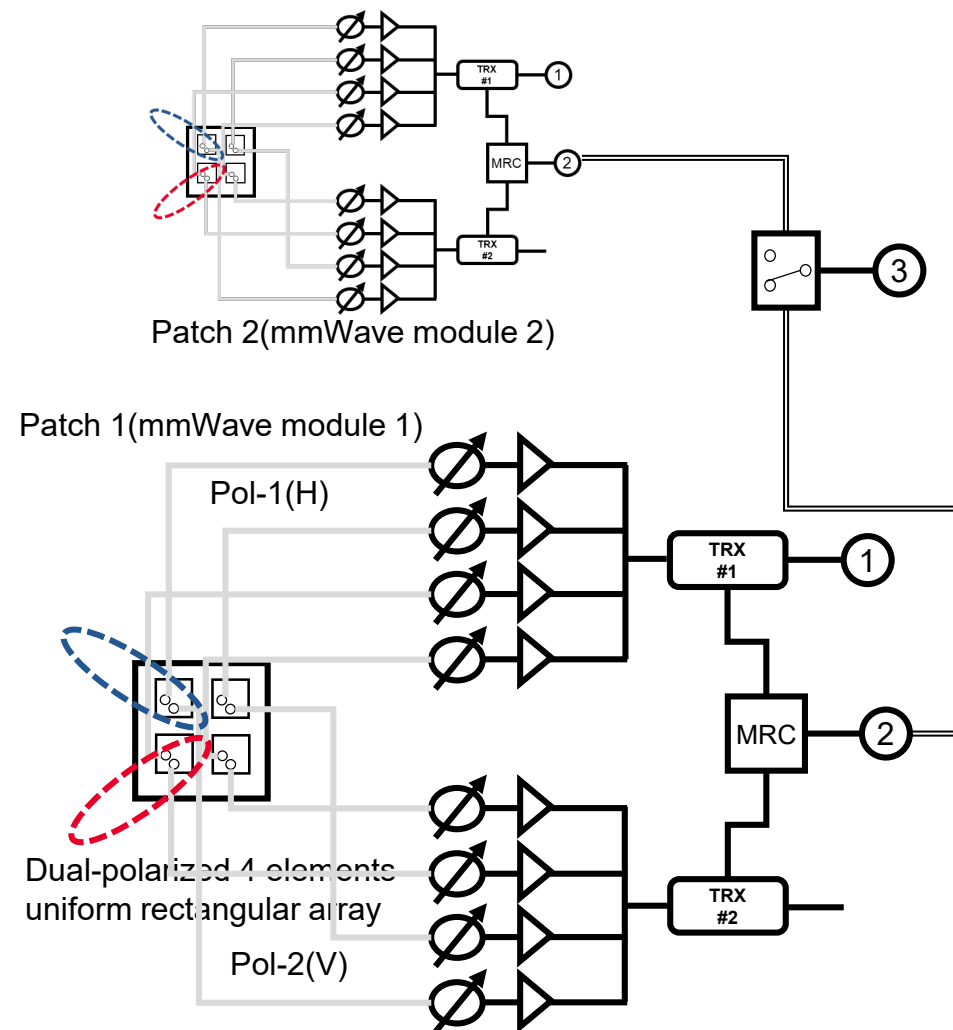
Scenario #2

- Number of stream (PDSCH_DMRS) : 1
- Diversity combining : Maximal Ratio Combining
- # of mmWave module: 1

Scenario #3

- Number of stream (PDSCH_DMRS) : 2
- Diversity combining : Switching (selective)
- # of mmWave module: 2

Dual Polarized MIMO



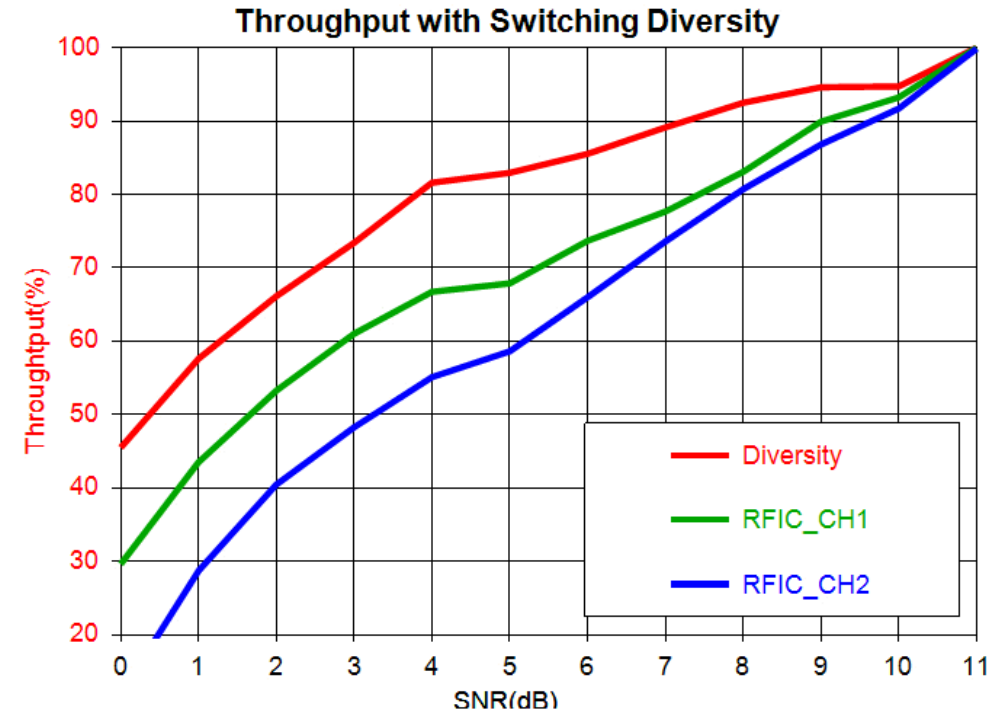
[UE Antenna and Transceiver Model]

Link Level Performance

<http://literature.cdn.keysight.com/litweb/pdf/5992-2519EN.pdf>

- Data transfer speed (a.k.a Throughput) is key metric for 5G communication link performance.
- 5G tops out at 10 gigabits per second (Ggps). How can you measure the RF system performance(ex: REFSEN) before full system integration with the IP layer protocol?
- SystemVue reference 5G baseband modeling IP and high fidelity behavioral RF model, they make this possible in early system architecting phase.
- Simply connect your TBS (Transport Block Size) output port to the throughput measurement part. Then, it will do everything for you. We are using the same measurement method with 3GPP.

5G Downlink Throughput Graph



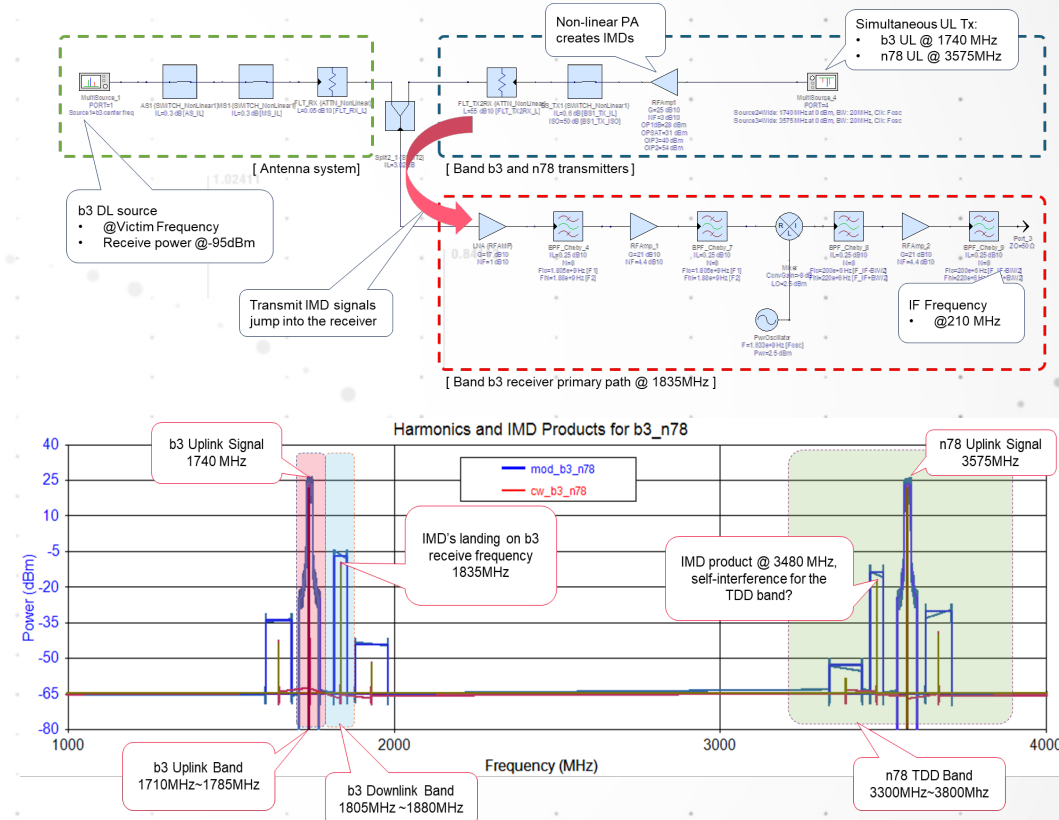
Rx Diversity Beam Switching Scenario

Multi-Radio Co-Existence

<http://literature.cdn.keysight.com/litweb/pdf/5992-3032EN.pdf>

- The first 5G network has configured with Non-Standalone(NSA) mode that LTE and NR radio are co-exist.
- Multiple band configuration in the RF front end module. Simultaneous LTE and NR transmission. They make serious IMD issues.
- Hundreds of 5G band configurations must be tested in the SpectraSys to analyze the power level of intermodulation distortion and cascaded noise figure.
- The measured noise figure data (for Receiver) transferred to the data flow simulation for the link level performance test.

EN-DC Front End Module Design



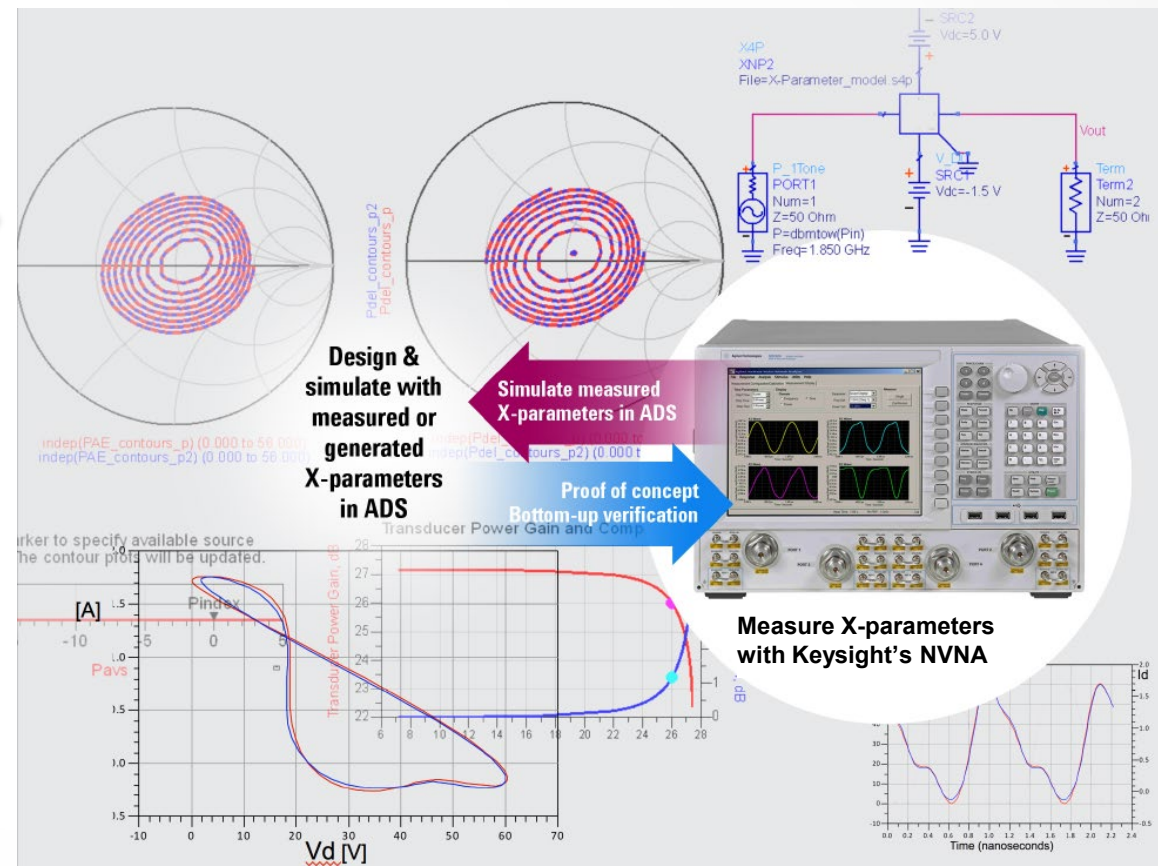
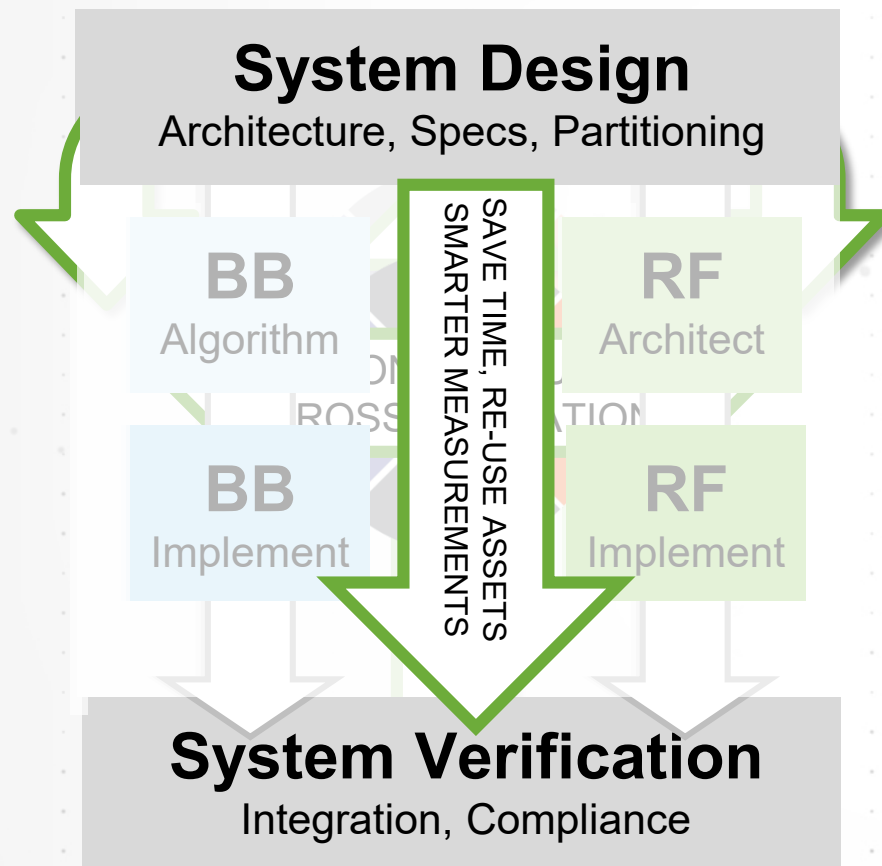
IMD Product Affect Receiver Sensitivity

Summary



Integrate Entire R&D Workflow

SHARE ACTIVE DESIGN FILES ACROSS MULTIPLE DISCIPLINES



Resources

Web pages

- <http://www.keysight.com/find/eesof-systemvue>
- www.keysight.com/find/5G

Tutorial videos (YouTube channel)

- YouTube Channel: <http://www.keysight.com/find/eesof-systemvue-videos>
- “How to Understand 5G: mmWave Beamforming”: <https://youtu.be/Hs7SciAbpHI>
- “How to Understand 5G: Beamforming”: <https://www.youtube.com/watch?v=jH6eov3h1NM>
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- “Simulation for 5G New Radio System Design and Verification”: <http://literature.cdn.keysight.com/litweb/pdf/5992-3032EN.pdf>

Downloads

<http://www.keysight.com/find/eesof-systemvue-latest-downloads>



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